



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,101	01/26/2001	G. Cameron Dales	SMX 3104 (2000-108)

000321  
SENNIGER POWERS LEAVITT AND ROEDEL  
ONE METROPOLITAN SQUARE  
16TH FLOOR  
ST LOUIS, MO 63102

CONFIRMATION NO. 7959  
FORMALITIES LETTER



\*OC000000005837170\*

Date Mailed: 03/07/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$574.
  - \$414 for 23 total claims over 20.
  - \$160 for 2 independent claims over 3 .
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1414.**

*A copy of this notice **MUST** be returned with the reply.*

*SM*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

04/26/2001 EEKUBAY1 00000014 09772101 PART 2 - COPY TO BE RETURNED WITH RESPONSE

01 FC:101	710.00	OP
02 FC:103	414.00	OP
03 FC:102	160.00	OP
04 FC:103	130.00	OP



FEE TRANSMITTAL

Sector A

Application Number 09/772,101  
Filing Date January 26, 2001  
Confirmation No. 7959  
First Named Inventor Dales, et al.  
Group Art Unit  
Examiner Name  
Attorney Docket Number SMX 3104 (2000-108)

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**METHOD OF PAYMENT**

1.  The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- Applicant claims small entity status.

2.  Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1.  **BASIC FILING FEE** Subtotal (1) \$ 710  
(Type: Utility)

2.  **EXTRA CLAIM FEES** Subtotal (2) \$ 574

Total Claims 43  
Independent Claims 5  
Multiple Dependent Claims   

3.  **ADDITIONAL FEES** Subtotal (3) \$ 170

- Surcharge - late filing fee or oath
- Surcharge - late provisional filing fee or cover sheet
- Extension for reply within \_\_\_\_\_ month
- Notice of Appeal
- Filing a Brief in Support of an appeal
- Request for ex parte Reexamination
- Petitions to the Commissioner
- Submission of Information Disclosure Statement
- Recording each patent assignment per property
- Request for Continued Examination
- Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 1,454

Michael E. Godar 4/23/01  
Michael E. Godar, Reg. No. 28,416 Date

MEG/bcw

Express Mail Label No. EL 827744185 US



SMX 3104 (2000-108)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Dales, et al.

Art Unit 1743

Serial No. 09/772,101

Filed January 26, 2001

Confirmation No. 7959

For APPARATUS AND METHODS FOR PARALLEL PROCESSING OF MULTIPLE REACTION MIXTURES

April 23, 2001

**LETTER IN RESPONSE TO NOTICE TO FILE MISSING  
PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED**

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS,

SIR:

In response to the "Notice to File Missing Parts of Nonprovisional Application - Filing Date Granted" dated March 7, 2001, enclosed is applicants' signed Declaration and Power of Attorney in the above-referenced application. Also enclosed is a check in payment of the basic filing fee, additional claims fee and surcharge in the above-referenced patent application. A copy of the Notice is returned herewith.

An assignment by the inventor(s) to Symyx Technologies, Inc. is being mailed today.

Respectfully submitted,

*Michael E. Godar*

Michael E. Godar, Reg. No. 28,416  
SENNIGER, POWERS, LEAVITT & ROEDEL  
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St. Louis, Missouri 63102  
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MEG/bcw  
\*Enclosures

Express Mail Label No. EL 827744185 US  
Box Missing Parts